Channel Arrangement Design in Lumped Amplified WDM Transmission over NZ-DSF Link with Nonlinearity Mitigation Using Optical Phase Conjugation

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SUMMARY  Optical phase conjugation (OPC) is an all-optical signal processing technique for mitigating fiber nonlinearity and is promising for building cost-efficient fiber networks with few optic-electric-optic conversions and long amplification spacing. In lumped amplified systems, OPC has a little nonlinearity mitigation efficiency for nonlinear distortion induced by cross-phase modulation (XPM) due to the asymmetry of power and chromatic dispersion (CD) maps during propagation in transmission fiber. In addition, the walk-off of XPM-induced noise becomes small due to the CD compensation effect of OPC, so the deterministic nonlinear distortion increases. Therefore, lumped amplified transmission systems with OPC are more sensitive to channel spacing than conventional systems. In this paper, we show the channel spacing dependence of NZ-DSF transmission with OPC by using amplification repeater with OPC. Numerical simulations show comprehensive characteristics between channel spacing and CD in a 100-Gbps/ch. WDM signal. An experimental verification using periodically poled LiNbO$_3$-based OPC is also performed. These results suggest that channel spacing design is more important in OPC-assisted systems than in conventional dispersion-unmanaged systems.

key words: optical signal processing techniques for optical communications, optical phase conjugation, nonlinear optics, periodically poled LiNbO$_3$ waveguide

1. Introduction

Digital coherent technologies have increased the transmission capacity and distance in optical fiber communication systems. It is possible to compensate for linear impairments such as chromatic dispersion (CD) and polarization-mode dispersion (PMD) with digital signal processing (DSP) in digital coherent transmission. 100-Gbps/ch. wavelength-division multiplexing (WDM) transmission systems using dual-polarized quadrature-phase-shift keying (DP-QPSK) are widely deployed in core and metro fiber networks [1]. When constructing cost-efficient long-haul fiber networks, it is important that the spacing of repeaters based on optic-electric-optic conversion and optical amplification is extended. One of the factors restricting the repeater spacing is fiber nonlinearities such as self-phase modulation (SPM), cross-phase modulation (XPM), and four-wave mixing (FWM) caused by optical the Kerr-effect [2]. These nonlinearities cause there to be phase noise in the signal and the signal-to-noise ratio (SNR) to deteriorate. As the fiber-input power increases, the optical SNR (OSNR) improves. However, the optical signal is strongly distorted due to fiber nonlinearities when the power exceeds a specific value. Thus, conventional optical transmission systems are operated with the optimal fiber-input power balanced between OSNR-improvement and signal distortion due to fiber nonlinearities. Although techniques for digitally mitigating fiber nonlinearity, such as digital back propagation [3], the Volterra equalizer [4], and the neural-network-based equalizer [5], have been developed, the deployment of novel transponders with these functions is required.

Optical phase conjugation (OPC) is one of the all-optical signal processing techniques for mitigating nonlinear distortion [6]–[10]. By using the OPC with the existing optical nodes, the SNR can be improved. In the OPC-assisted systems, signal light is converted to phase-conjugated light at the center of two spans in a transmission link. Nonlinear distortion and CD generated in a span before OPC are canceled by those generated in a span after OPC. OPC is generally conducted by utilizing an optical parametric amplification (OPA) process in highly nonlinear media such as highly nonlinear optical fibers (HNLF) and periodically poled LiNbO$_3$ (PPLN) waveguides. In the frequency non-degenerate OPA process, phase-conjugated light, namely idler light, is generated at a frequency different from that of signal light due to the nonlinear interaction between signal and pump light. In particular, the idler generation process in $\chi^{(2)}$-nonlinear media is called differential frequency generation (DFG). Phase rotation due to fiber nonlinearity and CD is mitigated with the propagation of phase-conjugated light. For complete compensation of nonlinear distortion, the nonlinear phase rotations that occur in the span before and after OPC must be the same. Therefore, the performance of nonlinearity mitigation with OPC depends on the symmetry of an optical power map and a CD map during propagation between two spans [7]. When constructing a symmetrical transmission link, distributed Raman amplification (DRA) is effective. The combination of DRA and OPC has demonstrated an improvement in nonlinear tolerance in long-haul fiber transmission [8]–[10]. On the other hand, enhancing the nonlinear mitigation efficiency of OPC in lumped amplified systems is...
an important issue. So far, it has been shown that mitigation, especially for XPM, is particularly small in lumped amplified transmission [11]. For enhancement of nonlinearity mitigation in lumped amplified systems, optimizing the CD map by pre-dispersion loading in accordance with the asymmetry with a power map has been demonstrated [12], [13].

Generally, in a dispersion-unmanaged transmission link, XPM-induced noise is not dominant thanks to the walk-off effect. Even if the channel spacing, which affects the efficiency of XPM-induced noise, is widened, a large improvement in nonlinear tolerance cannot be obtained. In an OPC-assisted system, the walk-off becomes small because the CD of the propagating light fluctuates around zero due to the CD compensation effect of OPC, similar to a periodically dispersion-managed link. Therefore, OPC-assisted systems are more sensitive to channel spacing, so a wider channel spacing will be effective in improving the transmission performance in comparison with no-OPC systems. However, the effects of channel spacing in digital coherent WDM transmission systems with OPC have not been fully investigated. In this paper, we investigate channel arrangement design for WDM transmission systems using lumped amplification repeaters with OPC. In particular, we focus on a transmission link consisting of non-zero dispersion-shift fibers (NZ-DSFs) that is particularly affected by XPM. First, we perform numerical simulations using the split-step Fourier method (SSFM) to evaluate the impact of XPM on OPC-assisted systems. The effect of OPC on improving the SNR is comprehensively characterized by varying the channel spacing and CD. Next, experiments with long-haul transmission over an NZ-DSF link where the channel spacing is 100, 200, or 400 GHz are conducted using PPLN-based OPC to verify the validity of the simulation results. We demonstrate that the impact of XPM is larger in the OPC-assisted system than the conventional dispersion-unmanaged system, and the transmission performance can be greatly enhanced by extending the channel spacing.

2. Link Design Strategy with OPC in Lumped Optical Amplified WDM Transmission

The generation efficiency of nonlinear distortion due to XPM depends on the difference in the propagation constant $\Delta \beta$ between the interacting components. With a large $\Delta \beta$, the noise property of the XPM-induced phase rotation becomes small thanks to a strong walk-off effect. Extending the channel spacing is effective in increasing $\Delta \beta$ between interacting channels. Therefore, CD and WDM spacing are important parameters in transmission link design, and the walk-off length of nonlinear distortion caused by XPM is well-known as:

$$L_{np} = \frac{T}{|D \Delta \lambda|}$$

where $T$ is the symbol interval, $D$ is the second-order CD coefficient of a transmission link, and $\Delta \lambda$ is the wavelength separation between interacting components [14].

![Fig. 1](image)

Figure 1 shows an example of the lumped amplified transmission systems and CD maps with and without OPC. In the case without OPC, CD accumulates linearly and leads to walk-off in nonlinear distortion, especially induced by XPM. On such a dispersion-unmanaged link, transmission performance is less dependent on channel spacing because SPM is a dominant factor to nonlinear distortion. In contrast, signal light is propagated without CD accumulating in XPM-assisted systems. Because there is a small walk-off due to there being many dispersion-free points [Fig. 1(b)], the total nonlinearity in the entire system is larger than the no-OPC system, similar to a periodically dispersion-managed link. On the other hand, nonlinear phase rotations before and after OPC occur in directions counter each other. Therefore, the accumulated nonlinear-phase noise in the received signal depends on the balance between the enhancement of nonlinearity due to the small walk-off and the cancellation of opposing phase rotations. As shown in Fig. 1(c), it is possible to increase the walk-off effect in XPM by reducing the number of OPC insertions [11]. However, the compensation performance for the non-deterministic nonlinearity with a random-walk property caused by PMD and signal-noise nonlinear interaction deteriorates due to the longer OPC interval [15], [16]. Therefore, the random fluctuation in the received signal quality becomes large. In the following, we focus on the case of inserting OPC every two spans as shown in Fig. 1(b).

The mitigation effect of nonlinear-phase noise depends on the symmetry in the transmission conditions (CD map and power map) before and after OPC. Ignoring the dispersion slope of the transmission fiber and frequency shift due to OPC, the symmetry of the power map is important because the CD map is naturally symmetric by OPC insertions. Therefore, the utilization of distributed amplification is effective. However, in lumped amplified systems, there is no other way but to reduce the span length and loss to construct a symmetrical power map. The asymmetry of the
transmission conditions causes deterioration in the mitigation efficiency of nonlinear-phase noise, especially induced by XPM. In addition, OPC has a strong mitigation effect on SPM-induced noise, which is a nonlinear distortion in a narrow band such that does not depend much on the symmetry of the power and CD maps. Therefore, XPM becomes a dominant factor to nonlinear distortion by inserting OPC. The efficiency of XPM-induced phase noise is dependent not on only CD accumulation but also on the channel spacing. Therefore, OPC-assisted systems, which have a small walk-off for XPM-induced noise, are more sensitive to the channel spacing than no-OPC systems. Also, this effect is particularly noticeable in the case of a small CD transmission link consisting of NZ-DSFs. This characteristic is similar to WDM transmission using digital backpropagation, which generally has the capability to compensate only for nonlinear phase noise induced by SPM [17]. From the above, it is considered that the extension of the channel spacing is effective in improving the transmission performance in the OPC-assisted system. In the following sections, changes in nonlinear tolerance with respect to channel spacing and CD are discussed with numerical simulations and long-haul transmission experiments.

3. Numerical Simulation for 12 × 80-km Five-Channel WDM Transmission over NZ-DSF with Loss-Less OPC

As mentioned above, channel spacing will be important in OPC-assisted systems. In this section, we perform numerical simulations to investigate the design of the channel arrangement for lumped amplified transmission systems with OPC. It was assumed that there was no loss and gain when the WDM signal passed through OPC in order to confirm only the change in nonlinearity caused by the insertion of OPC.

3.1 Simulation Model

Figure 2 shows a simulation model. Optical fibers with a small CD such as NZ-DSFs were assumed as a transmission line. On the transmitter side, five-channel 100-Gbps/λ WDM signal were generated using Nyquist-pulse-shaped 32-Gbaud DP-QPSK with a 0.1-roll-off factor. The WDM channel spacing was set to 100, 200, or 400 GHz. In this simulation, only five channels were simulated due to computational resources, but in reality, 10 channels could be implemented using OPC with a complementary spectral inversion configuration [5]. The transmission link consisted of 12 × 80-km NZ-DSFs and inline erbium-doped fiber amplifiers (EDFAs). Thus, 960-km lumped amplified transmission was simulated. During this 960-km transmission, OPC was inserted every two spans. The propagation in the NZ-DSFs was simulated on the basis of the nonlinear Schrödinger equation (NLSE) considering a CD up to the third order calculated by the SSFM. The parameters were as follows. The dispersion slope was 0.07 ps/nm²/km, the propagation loss was 0.23 dB/km, the nonlinear optical constant was 2.3/W/km, the PMD coefficient was 0.1 ps/km¹/², the noise figure (NF) of the inline EDFAs was 4.5 dB, the center frequency of the WDM signal was 192.5 THz, and the center frequency of the phase-matching of the nonlinear medium used for OPC was 194.0 THz. The local CD at 1550 nm (193.4 THz) was varied from −3.0 to 6.0 ps/nm/km in 0.5-ps/nm/km increments. The propagation step size Δz in the SSFM was set adaptively in accordance with the power of the propagating light so that the calculation error of the nonlinear phase rotation was less than 0.1% [3], [18]. After passing through OPC, the center frequency of the WDM signal was converted to 195.5 THz. The CDs before and after OPC was set to different values calculated in accordance with the dispersion slope, the local CD at 1550 nm, and the center frequency of the WDM signal. Figure 2(c) shows the simulation flow per Δz of the SSFM. (I) FFT: (inverse) fast Fourier transform.
EDFA section, additive white Gaussian noise (AWGN) with a 4.5-dB NF was added to the signal after the compensation for propagation loss. The root-mean square (RMS) of the amplitude in a one-phase component of AWGN is expressed as

\[ n_{RMS} = \sqrt{\frac{a_{NF} (G - 1) h \nu}{4} \Delta f} \]  

(2)

where \( a_{NF} \) is the NF of an inline amplifier, \( G \) is the gain of EDFA, \( h \) is the Plank constant, \( \nu \) is the center frequency of the WDM signal, and \( \Delta f \) is the bandwidth of the analysis area. After calculating the 12 × 80-km transmission, the WDM signal was frequency-shifted so that the tested channel was at the center of the analysis area, and it was then extracted with a low-pass filter. The extracted channel was demodulated using a \( 2 \times 2 \) adaptive filter updated by the decision-directed least-mean square (DD-LMS) algorithm after CD compensation. The signal quality was evaluated by calculating the SNR on the basis of the variance in the signal after the symbol decision from the desired symbols.

3.2 Results and Discussion

Figure 3 shows simulation results for the SNR of the center channel as a function of the input power with 3.0-ps/nm/km local CD at 1550 nm. It was confirmed that the power tolerance was also improved by OPC regardless of the channel spacing. In the no-OPC cases, the improvement in power tolerance from extending the channel spacing was small. The reason for this is that the effect of XPM was not that great due to the walk-off from the accumulated CD even in the 100-GHz spacing. SPM-induced noise was dominant in the nonlinear distortion. The SNR difference at the optimal power between the 100-GHz spacing and 400-GHz spacing was 0.43 dB, and the optimal input power was \(-2 \text{ dBm/ch.}\) regardless of the channel spacing. The OPC-assisted systems showed a larger improvement in power tolerance from extending the channel spacing than the no-OPC systems because they were strongly affected by XPM-induced noise due to the small walk-off. SPM-induced noise was efficiently mitigated by the insertion of OPC. XPM became a major factor to nonlinear distortion. The SNR difference at the optimal power between the 100-GHz spacing and 400-GHz spacing was 1.79 dB. The optimal input power increased by 1 dBm as the channel spacing increased. The amount of SNR improvement thanks to the nonlinearity mitigation by OPC for each channel spacing was 1.17 dB with 100 GHz, 1.78 dB with 200 GHz, and 2.52 dB with 400 GHz. As shown from these results, since the influence of the channel spacing was large in the transmission system using OPC, it is necessary to design the channel spacing more carefully on the basis of the required number of channels.

Figure 4 shows the channel dependence of SNR at the optimal power with 3.0-ps/nm/km chromatic dispersion at 1550 nm. In the no-OPC cases, the SNR was slightly higher towards the outer channel. This is because there were fewer interference channels for XPM on the outside than on the inside. The SNR difference between channel 1 and 3 was 0.20 dB in the 100-GHz spacing. There was almost no channel dependence in the 400-GHz spacing because the influence of XPM was very small. In comparison, the OPC cases had a slightly greater channel dependence than no-OPC cases. There was an SNR difference of 0.68 dB with the 100-GHz spacing and 0.46 dB even with the 400-GHz spacing. This result suggests that OPC-assisted systems is sensitive for the XPM-induced noise.

Figure 5 shows the SNR of the center channel as a function of the local CD at 1550 nm. In the no-OPC cases, the SNR increased monotonically with the increase in the absolute value of CD due to the growth of the walk-off regardless of the channel spacing. The lowest peak was \(-0.5 \text{ ps/nm/km}\) at which the CD was zero near the center frequency of the WDM signal. Under the zero-CD condition, the XPM had a particularly large impact due to the small walk-off, so the
SNR deteriorated with a narrower channel spacing. The SNR difference caused by the channel spacing gradually decreased with increase in CD, and there was almost no difference between 200-GHz and 400-GHz spacing in the region of > 5 ps/nm/km. In the OPC cases, the SNR was significantly degraded at two points, -0.5- and 1.0-ps/nm/km CD. The reason for the peak at -0.5 ps/nm/km was the same as that in the no-OPC case. 1.0ps/nm/km was the value at which the local CD of the idler light frequency-shifted by OPC was almost zero. Therefore, there was no walk-off in the phase-conjugate-propagation section. This suggests that it is necessary to pay attention not only to the local CD of the original signal light but also to that of the phase-conjugated light in OPC-assisted systems. A nonlinear mitigation effect of OPC exceeding this impairment could not be obtained with the zero-CD condition for the idler light due to the power map asymmetry of lumped amplification, so the deterioration in SNR was considered to be remarkable. A similar deterioration was confirmed even in the 400-GHz spacing unlike the no-OPC case. These results show that the impact of XPM was greater in the transmission system using OPC than in the no-OPC systems.

From the above results, it was shown that the sensitivity for XPM was large in the transmission system using OPC. It was suggested that extending the channel spacing is more effective for OPC-assisted systems than conventional systems.

4. Experiment on Long-Haul WDM Transmission over NZ-DSF Using Inline E DFA with OPC

To experimentally verify the impact of channel spacing expansion on OPC-assisted systems, we conducted a lumped optical amplified five-channel WDM transmission experiments with an 80-km amplifier spacing. We used PPLN waveguides for OPC by DFG. This experiment examined the center channel in the WDM signal most degraded by XPM.
Fig. 7 Frequency dependence of CD in NZ-DSF used in experiment. CDs of channel under test (CUT) and its conjugate are 3.7 and 2.1 ps/nm/km, respectively.

Fig. 8 Configuration of polarization-diverse OPC stage. BPF3 is filter that allows only idler components to pass through. PBS: polarization-beam splitter, PBC: polarization-beam combiner.

Fig. 9 Input and output spectra of 1st OPC stage (0.1-nm resolution). (a) 100-GHz, (b) 200-GHz, (c) 400-GHz spacing WDM signal.

of the CUT and its conjugate were 3.7 and 2.1 ps/nm/km. The OPC stages were located at both ends of the 2nd span. Therefore, the phase-conjugated light at 195.5 THz propagated only during the 2nd span, and there was no change in the frequency allocation of WDM channels at each point in each lap. At the receiver side, the WDM signal was pre-amplified with an EDFA and the CUT was extracted using a BPF. The CUT was received by a dual-polarization coherent receiver. The received signal was demodulated using a 2 × 2 adaptive filter with pre-convergence using training symbols and tracking by the DD-LMS algorithm after CD compensation in the offline DSP. The CD of the received signal was estimated by a delay tap sampling estimation (DTSE) method with a 50-ps/nm resolution [20]. Similar to the above numerical simulations, the signal quality was evaluated by calculating the SNR on the basis of the variance in the signal after the symbol decision from the desired symbols.

Figure 8 shows the configuration of PPLN-based polarization-diverse OPC. The center frequency of the phase-matching of PPLN waveguides was 194.0 THz. The DFG in $\chi^{(2)}$-nonlinear media was driven by a pump light with the second harmonic (SH) of the center frequency. Each PPLN waveguide was used for phase conjugation by DFG and frequency up-conversion of pump light by SH generation (SHG). By using different PPLN waveguides for SHG and DFG, it is possible to suppress the unnecessary nonlinear optical process [21]. The pump light was amplified by a 1.5-µm-band EDFA and converted to SH light by SHG in PPLN3 or PPLN4. The input signal light was divided into two orthogonal polarization components by a polarization-beam splitter (PBS) after passing through an isolator. By passing through PPLN1 or PPLN2 with the SH pump, the input light was amplified while idler light was generated. The signal light and SH pump light were (de-)multiplexed by dichroic filters. Finally, the BPF3 extracted only idler light after combining orthogonal polarization components with a polarization-beam combiner (PBC). The gain of PPLN1 and PPLN2 was set to 10 dB from the PBS input to the PBC output. The losses of the BPFs in the 1st and 2nd OPC stages for rejecting original signals were 2.3 and 4.8 dB, respectively. Figure 9 shows the input and output spectra of the OPC stage before the 2nd span in each channel arrangement with a 0.1-nm resolution. It was confirmed that the conversion efficiency in each WDM channel was uniform within ±0.1 dB. The conversion efficiency was stable over time and did not affect the measurement of SNR. The OPC stages were inserted every 80 km so that the frequency of light entering each component would always be the same in each lap in order to make gain equalization easy. In an ideal configuration, the OPC should be inserted once every two spans even if it is the most frequently inserted. Therefore, the OSNR penalty for inserting the OPC in this setup was greater than in the ideal configuration.

4.2 Results and Discussion

Figure 10 shows the CD estimated by the DTSE method in offline receiver DSP. Without OPC, the CD accumulated at 525–550 ps/nm per lap which was in good agreement with the amount measured from the CD analyzer. With OPC, the accumulation of the CD was relaxed and was 150–175 ps/nm per lap. This residual CD was caused by the dispersion slope and the frequency shift by OPC. From this result, it was confirmed that periodic CD mitigation was performed by OPC.

Figure 11 shows the calculated SNR of the received signals after 960-km transmission as a function of the fiber-input power. Without OPC, the CD accumulated at 525–550 ps/nm per lap which was in good agreement with the amount measured from the CD analyzer. With OPC, the accumulation of the CD was relaxed and was 150–175 ps/nm per lap. This residual CD was caused by the dispersion slope and the frequency shift by OPC. From this result, it was confirmed that periodic CD mitigation was performed by OPC.
400-GHz spacing was 0.6 dB at a −1.4-dBm input. In the case with OPC, the SNR changed significantly depending on the channel spacing unlike the no-OPC case. The SNR difference between the 100-GHz spacing and 400-GHz spacing was 2.0 dB. However, in the linear region at a −5.4-dBm input, there was a 1.5-dB penalty in contrast with the no-OPC cases due to the linear noise penalty caused by excessive loss from passing through the OPC stages. In the 200-GHz spacing, the optimal input power was improved by 2 dB, although there was no improvement in the maximum SNR in comparison with the no-OPC case due to the linear noise penalty. In the 400-GHz spacing, an SNR improvement exceeding the linear noise penalty was confirmed, and the maximum SNR was improved by 0.87 dB. The trend of the SNR and optimal power variances by OPC and the channel spacing were in good agreement with the simulation results. In the nonlinear region at a 2.6-dBm input in the 100-GHz and 200-GHz spacing cases, the tracking behavior of the adaptive filter for nonlinear distortion significantly degraded the SNR. In this experiment, the linear noise penalty due to the insertion of OPC was excessive because the number of OPC insertions was higher than really needed for ease of setup. In particular, the OPC after the 2nd span was placed before the EDFA and thus directly degraded the link NF. The linear noise penalty can be reduced by setting the OPC once every two spans as with the above simulation setup while maintaining nonlinearity-mitigation efficiency. It is also conceivable to further reduce the number of OPC insertions at the expense of increasing the random-walk length of non-deterministic nonlinear phase noise.

Figure 12 shows the SNR as a function of the transmission distance at the optimal input power. In the no-OPC cases, the SNR improved slightly as the channel spacing increased. With the 100-GHz and 200-GHz spacing, since the nonlinear compensation effect was smaller than the deterioration of the OSNR, SNR improvement with OPC could not be confirmed. However, with the 400-GHz spacing, the longer the transmission distance, the greater the amount of improvement in the SNR. The reason for this is that the wide channel spacing reduced the effects of XPM-induced noise, and the strong compensation effect of OPC for SPM-induced noise became apparent. Comparing in the region where the SNR was less than 11 dB, an extension of >1,000 km by OPC was shown. From the above experimental results, it was shown that the spacing of optic-electric-optic conversion can be extended by widening the channel interval even in the case where the OPC is inserted most frequently.

5. Conclusion

We numerically and experimentally investigated the impact of channel spacing in a WDM signal on nonlinear signal distortion in OPC-assisted transmission with a lumped optical amplified NZ-DSF link. Simulation results showed that the SNR was greatly improved by expanding the channel spacing in OPC-assisted systems, which are sensitive for XPM, compared with no-OPC systems. In numerical simulations with the SSFM, the nonlinear mitigation effect of OPC was improved by about 1.4 dB by expanding the channel spacing from 100 GHz to 400 GHz. We also experimentally demonstrated five-channel WDM long-haul transmission using PPLN-based polarization-diverse OPC. Similar to the simulation results, the performance in transmission using OPC was highly dependent on the channel spacing. In the 100-GHz and 200-GHz spacing cases, an improvement in the signal quality could not be confirmed with the
OSNR penalty due to the insertion of OPC. In contrast, in the 400-GHz spacing case, an improvement exceeding the OSNR penalty was obtained, and an extension of >1,000 km in transmission distance was confirmed. These results suggest that it is necessary to design the channel spacing more carefully on the basis of the required number of channels and the transmission performance to be achieved in OPC-assisted systems.

References


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